



Information to clients regarding handling of complaints



Industry Capital Partners

incp.com

INFORMATION TO CLIENTS REGARDING HANDLING OF COMPLAINTS

Important information:

When entering into a business relationship with Industry Capital Partners AS, the client represents that it fully understands:

- That investments are made and positions are taken in financial investments at the client's own risk
 - The need to carefully study the Company's general terms and conditions and other relevant information on the financial instrument in question and its properties and risks before investing
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About the Company and its services

Industry Capital Partners AS (the **Company**) will only offer investment services in relation to alternative investment funds qualifying as alternative investment funds for the purposes of the Directive 2011/61/EC on Alternative Investment Fund Managers (the **AIFMD**) and as qualifying investor alternative investment funds for the purposes of Irish law and the Central Bank's AIF Rulebook (each a **Fund**, collectively the **Funds**).

The Funds will be closed-ended and managed by subsidiaries of the Company. The subsidiaries will be managers of alternative investment funds authorized under and in accordance with the Norwegian Act on Alternative Investment Fund Managers 20 June 2014 No. 28.

The investment services provided by the Company in connection with the Funds, will be limited to inviting select investors that qualify as professional investors under the Directive 2014/65/EU on Markets in Financial Instruments and which meet relevant requirements under local law to subscribe for interests in the Funds, and potentially receive and transmit orders in Funds in the secondaries market. In order to subscribe for interest in a Fund, the client will have to complete the subscription deed for the relevant Fund, provide the information requested therein and submit the subscription deed to the addressees stated therein.

In connection with the invitation to invest in a Fund made by the Company, the clients of the Company will be provided with private placement memorandums, limited partnership agreements and AIFMD Article 23 information documents for each Fund (collectively the **Fund Documents**).

The Fund Documents will include specific information about the risk factors, potential conflicts of interest and legal considerations regarding each Fund. The client will need to carefully study the Fund Documents before making an investment decision.

Complaints handling

Clients can send complaints to: inquiries@incp.com.

The Company shall ensure that complaints are dealt with in a proper and expeditious manner.

The Company shall ensure that all complaints are thoroughly investigated and that any conflicts of interest are identified and limited. The Company shall determine without undue delay how the complaint is to be handled and ensure proper documentation of such handling. The client is to be given a written response without undue delay that states, as a minimum, that the complaint has been received, that it is under consideration, the expected processing time, that the client will be given a written report from the Company as soon as the complaint has been dealt with and that this will also include the action that the Company is taking in response to the complaint.

Updated as per 17 February 2023